

A composite image. The left side shows a person's hands typing on a laptop at a wooden desk. On the desk is a white coffee cup with a black lid and a blue clipboard holding a document with bar charts. The right side is a dark, semi-transparent overlay with the title text.

SERIES 66 STUDY GUIDE

CE

Study Guide For Series 66

Kathleen Armour



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TM Hog's Series 66 Practice Exams and Study Guide Philip Martin McCaulay, 2022-02-26 This book will help investment professionals pass the Uniform Combined State Law Examination It contains twelve practice exams and a study guide The sample questions are the type that are most likely to appear on the Series 66 exam and are in the same format as those on the exam The questions are straightforward multiple choice questions with four choices and one best answer Part I of this book contains the sample exams with a total of 1 200 questions the equivalent of twelve complete 100 question Series 66 exams Each 100 question practice test is followed by the answer key After the answer key the practice exam is displayed with the answers shown The questions by topic are in the same proportion as the topic weightings on the Series 66 exam Part II of this book has a study guide in outline form There is a section for each topic covered on the Series 66 exam including Economic Factors and Business Information Investment Vehicle Characteristics Client Investment Recommendations and Strategies and Laws Regulations and Guidelines including Prohibition on Unethical Business Practices The study guide includes details on the math formulas needed for the test

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